

Perth Mint Physical Gold ETF (AAAU): Redemption process FAQs

1. How do I arrange for delivery of physical gold?

Step 1: File your delivery application.

- a. Contact The Perth Mint to discuss the type and quantity of gold products you wish to have delivered, suitable delivery locations, insurance options, dates and methods, as well as any relevant product premiums and delivery fees.

The Perth Mint's bullion products that can be exchanged for AAAU shares can be seen on the [Calculator](#) page of this website.

If you are interested in other bullion products offered by The Perth Mint please visit: www.perthmintbullion.com.¹

The Perth Mint can be contacted by emailing AAAU@PerthMint.com or call +61-8-9421-7615 to discuss your individual requirements.

- b. The Perth Mint will provide you with a delivery application setting out the type and quantity of gold selected by you in exchange for the number of shares being surrendered. The quotation will also include the quote expiry date, share submission date and any delivery fees.
- c. If you wish to proceed with the application, you must sign it and submit it to The Perth Mint within three business days of receipt of the quote (the quote expiry date).

In addition to the signed delivery application, provide sufficient details to The Perth Mint identifying yourself as the investor and a beneficial owner of AAAU shares. This could be either a copy of the most recent share broker statement or a copy of the AAAU stock certificate.

To establish a customer account with The Perth Mint and to facilitate the delivery of the gold, you will also be required to provide valid photo identification and proof of residential address, for example a recent bank statement or utility bill.²

If the delivery application is on behalf of a company, trust or partnership, additional documentation will be required by The Perth Mint.

- d. The Perth Mint will verify and approve the delivery application, then return it to you, the investor. In addition, The Perth Mint will provide a delivery ID and your customer account number.

Step 2: Submit your shares.

Upon receipt of the signed delivery application from The Perth Mint, instruct your stockbroker/dealer to transfer your AAAU shares to The Perth Mint's broker/dealer account on the share submission day (specified on the delivery application) to effect the exchange of shares for gold.

Step 3. Receive your gold.

You will receive your selected gold products from The Perth Mint via the agreed delivery methods. It will be shipped using accepted business practices for precious metals delivery. To ensure the utmost security of the delivery process you will be required to provide photo identification on receipt of the physical gold.

2. To which locations can I have the gold delivered?

The Perth Mint ships to any US address serviced by our chosen carrier on the below basis:

- Orders less than USD 25,000 – freight charge is fixed at USD 30.00
- Orders greater than USD 25,000 – secure armored delivery may be required. Rates will vary depending on both location and quantity.

Investors can choose to insure their delivery, irrespective of value, for an added fee of 1% of the value of the delivery.

The quotation you receive will outline the total number of shares required to be redeemed.

Delivery options to other worldwide locations are also available.

Please contact The Perth Mint, by emailing AAAU@PerthMint.com or calling +61-8-9421-7615, to discuss your preferred delivery method and location.

3. Can I come to Perth and collect my gold?

If collection from The Perth Mint was agreed upon during your discussion about your delivery application with The Perth Mint (see question 1a), then yes, you are able to come to The Perth Mint to collect your gold, under the agreed pre-arranged conditions.

Redemptions in gold are subject to specific conditions and limitations, including the fact that delivery of physical gold may take considerable time. Please see the disclosures section for potential limitations for the redemption of physical gold.

For further information about the Perth Mint Physical Gold ETF - AAAU fund contact Exchange Traded Concepts on 1-844-880-3837 (toll free).

For more details on the AAAU physical redemption process contact The Perth Mint on +61-8-9421-7615.

¹ Please note that not all bullion products listed on this website can be exchanged for shares. Only a selected gold bullion product range is available to be exchanged for AAAU shares.

² The Custodian may determine (in its absolute discretion) whether the investor has provided sufficient details to identify the investor as a beneficial owner and to open the customer account with The Perth Mint, which may reject any application. Redemptions in gold are subject to specific conditions and limitations, including the fact that delivery of physical gold may take considerable time. Please see the disclosures section for potential limitations for the redemption of physical gold.

Disclosures

This material must be preceded or accompanied by a prospectus.

Investors should consider the investment objectives, risks, charges and expenses carefully before investing. For a prospectus or summary prospectus with this and other information about the Perth Mint Physical Gold ETF (“Trust”), please call 1-844-880-3837 or see the website at www.aaauetf.com. Read the prospectus or summary prospectus carefully before investing.

The Fund is not a mutual fund or any other type of Investment Company within the meaning of the Investment Company Act of 1940, as amended, and is not subject to regulation thereunder. The value of your shares fluctuates based upon the price of the gold held by the Trust. Fluctuations in the price of gold could materially adversely affect your investment in the shares. Investors should be advised that there is no assurance that gold will maintain its long-term value in terms of US dollar value in the future. In the event that the price of gold declines, the value of an investment in the shares is expected to decline proportionately.

Trust shares may trade at Net Asset Value or at a price that is above or below Net Asset Value. Any discount or premium in the trading price relative to the Net Asset Value per share may widen as a result of the different trading hours of NYSE Arca and other exchanges. Substantial sales of gold by central banks, governmental agencies and multi-lateral institutions could adversely affect an investment in the shares. Because the Trust invests only in gold, an investment in the Trust may be more volatile than an investment in a more broadly diversified portfolio. The lack of an active trading market for the shares may result in losses on your investment at the time of disposition of your shares.

The request for redemption of shares for gold is subject to a number of risks including but not limited to the potential for the price of gold to decline during the time between the submission of the request and delivery. Delivery may take a considerable amount of time depending on your location. The Trust may suspend redemptions of baskets by authorized participants and Gold Corporation may suspend or reject the exchange of shares for physical gold, which could affect the market price of the shares. The withdrawal of an authorized participant and substantial redemptions by authorized participants may affect the liquidity of the shares.

Gold owned by the Trust may be subject to loss, damage, theft or restriction on access. Although the Trust does not insure gold held by the Custodian, the Custodian's obligations in relation to gold owned by the Trust and held in safekeeping by the Custodian are subject to the Government Guarantee in the event the Gold is lost, damaged, or stolen.

Market Price: The current price at which shares are bought and sold. Market returns are based upon the last trade price.

NAV: The dollar value of a single share, based on the value of the underlying assets of the fund minus its liabilities, divided by the number of shares outstanding. Calculated at the end of each business day at 4:00PM.

Marketing Agent: Foreside Fund Services, LLC